



2131

#4

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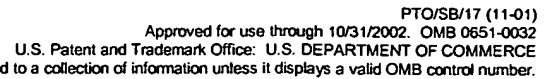
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/050,648
		Filing Date	January 16, 2002
		First Named Inventor	Dave Hamilton
		Group Art Unit	2131
		Examiner Name	Unassigned
Total Number of Pages in This Submission	13	Attorney Docket Number	3992P004X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Six Prior Art References  <b>RECEIVED</b> <b>APR 22 2002</b> <b>Technology Center 2100</b></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric T. King, Reg. No. 44,188 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 10, 2002

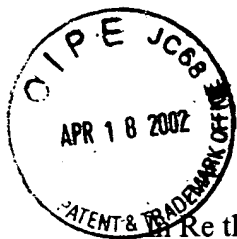
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#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

DAVE HAMILTON, ET AL.

Application No.: 10/050,648

Filed: January 16, 2002

For: **System, Device, and Method for Providing  
Secure Electronic Commerce Transactions**

Art Group: 2131

Examiner: Unassigned

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**APR 22 2002**

**Technology Center 2100**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 10, 2002



Eric T. King, Reg. No. 44,188

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(714) 557-3800

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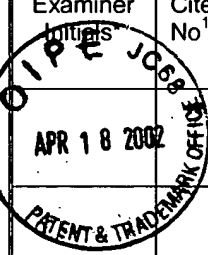
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Susan McFarlane

04/10/02

Date



Substitute for Form 1449A/PTO (Modified) <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/050,648
				Filing Date	01/16/2002
				First Named Inventor:	Hamilton
				Group Art Unit	2131
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	003992P004X
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		TOM SHELDON, Encyclopedia of Networking, Electronic Edition, pgs. 324-329, Osborne/McGraw-Hill, 1998.			
		Line 6, Amp Farm, The Guitar Recording Plug-In for TDM-Equipped Pro Tools Systems, <a href="http://www.sigidesign.com/products/prd_overview.cfm?product_id=1001">http://www.sigidesign.com/products/prd_overview.cfm?product_id=1001</a> & <a href="http://a396.g.akamai.net/7/396/1848/7473dc3aa964dc/media.digidesign.com/products/images,2001">http://a396.g.akamai.net/7/396/1848/7473dc3aa964dc/media.digidesign.com/products/images,2001</a> .			
		Line 6, Amp Farm, The Guitar Recording Plug-In for TDM-Equipped Pro Tools Systems, <a href="http://www.digidesign.com/products/prd_specs.cfm?product_id=1001">http://www.digidesign.com/products/prd_specs.cfm?product_id=1001</a> , 2001.			
		e-commerce - a searchEBusiness definition, E-tailing or The Virtual Storefront and the Virtual Mall, <a href="http://searchebusiness.techtarget.com/sDefinition/0,,sid19_gci212029,00.html">http://searchebusiness.techtarget.com/sDefinition/0,,sid19_gci212029,00.html</a> , 2001.			
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Examiner Signature	Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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